

## UNITED STATES DISTRICT COURT

District of \_\_\_\_\_

UNITED STATES OF AMERICA

V.

TIGER ASIA MANAGEMENT, LLC

**JUDGMENT IN A CRIMINAL CASE**

(For Organizational Defendants)

CASE NUMBER: 2:12cr808(SRC)(1)

LAWRENCE S. LUSTBERG, ESQS.

Defendant Organization's Attorney

**THE DEFENDANT ORGANIZATION:**☒ pleaded guilty to count(s) one information☐ pleaded nolo contendere to count(s) \_\_\_\_\_  
which was accepted by the court.☐ was found guilty on count(s) \_\_\_\_\_  
after a plea of not guilty.

The organizational defendant is adjudicated guilty of these offenses:

<u>Title &amp; Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
18:1343	Wire Fraud	12/2008 - 1/2009	1

The defendant organization is sentenced as provided in pages 2 through 3 of this judgment.☐ The defendant organization has been found not guilty on count(s) \_\_\_\_\_☐ Count(s) \_\_\_\_\_ ☐ is ☐ are dismissed on the motion of the United States.

It is ordered that the defendant organization must notify the United States attorney for this district within 30 days of any change of name, principal business address, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant organization must notify the court and United States attorney of material changes in economic circumstances.

Defendant Organization's

Federal Employer I.D. No.: \_\_\_\_\_

Defendant Organization's Principal Business Address:

SPECIAL ASSESSMENT: \$400.00 ON COUNT 1  
INFORMATION12/12/2012

Date of Imposition of Judgment

Signature of Judge

Stanley R. Chesler, U. S. D. J.

Name of Judge

Title of Judge

12/12/2012

Date

Defendant Organization's Mailing Address:

DEFENDANT ORGANIZATION: TIGER ASIA MANAGEMENT, LLC  
CASE NUMBER: 2:12cr808(SRC)(1)

### PROBATION

The defendant organization is hereby sentenced to probation for a term of :

1 YEAR ON COUNT ONE OF THE INFORMATION

The defendant organization shall not commit another federal, state or local crime.

If this judgment imposes a fine or a restitution obligation, it is a condition of probation that the defendant organization pay in accordance with the Schedule of Payments sheet of this judgment.

The defendant organization must comply with the standard conditions that have been adopted by this court as well as with any additional conditions on the attached page (if indicated below).

### STANDARD CONDITIONS OF SUPERVISION

- 1) within thirty days from the date of this judgment, the defendant organization shall designate an official of the organization to act as the organizations's representative and to be the primary contact with the probation officer;
- 2) the defendant organization shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
- 3) the defendant organization shall notify the probation officer ten days prior to any change in principal business or mailing address;
- 4) the defendant organization shall permit a probation officer to visit the organization at any of its operating business sites;
- 5) the defendant organization shall notify the probation officer within seventy-two hours of any criminal prosecution, major civil litigation, or administrative proceeding against the organization;
- 6) the defendant organization shall not dissolve, change its name, or change the name under which it does business unless this judgment and all criminal monetary penalties imposed by this court are either fully satisfied or are equally enforceable against the defendant's successors or assignees; and
- 7) the defendant organization shall not waste, nor without permission of the probation officer, sell, assign, or transfer its assets.

AO 245E (Rev. 12/03) Judgment in a Criminal Case for Organizational Defendants  
Sheet 2A — Probation

DEFENDANT ORGANIZATION: TIGER ASIA MANAGEMENT, LLC  
CASE NUMBER: 2:12cr808(SRC)(1)

Judgment—Page 3 of 3

**ADDITIONAL PROBATION TERMS**

CONSENT JUDGMENT AND ORDER OF FORFEITURE FILED IN THE AMOUNT OF \$16,257,918.00